



Regd Office: 3rd Floor, Mafatlal Centre, Nariman Point, Mumbai – 400 021
Member: Bombay Stock Exchange Ltd. (BSE) / National Stock Exchange of India Ltd. (NSE)
 (Trading and Clearing Member)
SEBI Registration Numbers: NSE – Cash INB230706631 F&O INF230706631
 BSE - Cash INB010706639 F&O INF010706639

Application for Gold ETF SIP

To
 IDBI Capital Market Services Ltd
 Account opening section
 2nd Floor, Mittal Court 'C' Wing,
 Nariman Point, Mumbai- 21

Date: _____

Dear Sir,

Client Category: Retail

Trading Client Code: _____

I hereby authorize IDBI Capital Market Services Ltd. (IDBI Capital) to buy the following ETF(s) as per the limits/ time schedule under the Gold ETF SIP facility.

GOLD ETF (Please see the last page for a list of Gold ETFs)	
Scrip Name	Quantity (Units)
*One Units = Approx. 1 Gram Gold. *fraction units are not permitted *Units will be purchased at the prevailing market rate on SIP Trigger date.	

SIP Tenure (select any one)	<input type="checkbox"/> 12 months	<input type="checkbox"/> 24 months	<input type="checkbox"/> 36 months
SIP Trigger Date (every month) (select any one)	<input type="checkbox"/> 5 th <input type="checkbox"/> 15 th <input type="checkbox"/> 25 th	<input type="checkbox"/> 5 th <input type="checkbox"/> 15 th <input type="checkbox"/> 25 th	<input type="checkbox"/> 5 th <input type="checkbox"/> 15 th <input type="checkbox"/> 25 th

Declaration:-

I fully agree and confirm that

- Gold ETF SIP is a service that does not constitute an offer to sell / redeem any securities or a solicitation to any person in any jurisdiction.
- Gold ETF SIP is not a PMS or mutual fund and all transaction shall happen with my consent only.
- In order to facilitate the execution of SIP transaction, I would be required to keep clear balances in my Bank account linked with IDBI Capital.
- The charges, if any, incurred are sign-up/ administrative charges/brokerage and applicable statutory dues

Declaration: I hereby confirm that I have understood the terms and conditions attached herewith and agree to the same.			
First Holder / Sole Holder details		Existing client code with IDBI Caps	
Name		PAN Number	
Signature		Place	

Terms & Conditions for IDBI Capital Equity SIP
(Please sign all the pages of T&C)

1. Definitions

In these terms & conditions, the terms shall have the following meaning unless indicated otherwise:

- a. "SIP" means Systematic Investment Plan in Equities / Gold ETFs and other specified securities
- b. "SIP Instruction" means instructions/request given by the client for SIP.
- c. "SIP Tenure" means the total period of SIP as per the SIP instruction.
- d. "SIP Investment Amount" means the SIP amount indicated in the SIP application.
- e. "SIP Amount" means the amount payable towards the SIP Order. This amount shall comprise of SIP Value and applicable taxes, charges and brokerage.
- f. "SIP Quantity" means the quantity of shares to be purchased on the trade date as indicated by the client in the application.
- g. "SIP Order" means each transaction to be executed in the client's account as per SIP instruction.
- h. "SIP Trigger Date" means the date on which the order is executed in client's account as per the SIP Instruction.

2. General

- a. Clients having an active Trading Account with IDBI Capital can opt for Gold ETF SIP.
- b. No separate Trading or Demat account is required to be opened by the existing client for availing the scheme.
- c. The investments discussed or recommended in reports / calls and/or on website of IDBI Capital (i.e. www.idbicapital.com or www.idbipaisabuilder.in) may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advice, as they believe necessary.
- d. The terms of the above scheme shall be in addition to and not in derogation of the terms contained in the Know Your Client Form along with the Rights & Obligations, Risk Disclosure Documents and Terms & Conditions executed by the client. The clients opting for the Equity SIP scheme will not hold IDBI Capital responsible for, or liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur by way of the SIP Plan.

3. Application

- a. Only applications complete in all respects will be accepted. IDBI Capital reserves the right to reject any incomplete forms.
- b. The Scrip name should be filled in full. Instructions with unclear scrip name may be rejected.
- c. Client can give one or more SIP Instructions. Such Instructions shall be given in writing.
- d. Client shall provide all the details in the SIP Application form including the Scrip name, SIP Quantity, SIP Investment Amount, SIP Trigger Date and SIP Tenure.

4. Renewal / Redemption

- a. The Plan can be renewed with the express written consent of the client. The client may sell the securities accumulated through the Plan at his own discretion.

5. Cancellation / Modification

- a. If you wish to cancel your existing scheme, you need to get in touch with our Customer Care team atleast 7 days prior to the SIP Trigger Date.
- b. In case of any modification in the scrips, intimation needs to be sent to our Customer Care team atleast 7 days prior to the SIP Trigger Date by sending request via phone with applicable TPIN if any or through client registered email (registered email id only).
- c. On successful processing of request for modification / cancellation the client will be intimated.
- d. The modification / cancellation of the SIP Instruction shall be effective from such date as may be intimated by IDBI Capital.
- e. The client shall fulfill all the obligations arising in the client's account before the effective date of such modification / cancellation of the SIP Instruction.

6. Brokerage / Fee Structure & Order Execution

- a. Client will have to specify in the form, number of Gold ETF units to be purchased. Minimum number of Gold ETF units to be purchased is 'one unit' and client may increase the number of units of a Gold ETF during the SIP tenure selected.

- b. Client can specify a maximum of 3 Gold ETF's to invest in. In case more than 3 Gold ETF's are specified, IDBI capital shall consider only the first 3 securities
- c. SIP Order will be executed on either of the Exchanges during the market hours at the market rate on best effort basis.
- d. In case the SIP Trigger Date falls on a trading holiday / non-working day , the SIP Order shall be executed on the immediate succeeding trading day at market rate as on that date
- e. In case of non-execution of SIP Order on the SIP Trigger Date due to any reasons beyond the control of IDBI Capital, IDBI Capital may at its sole discretion execute the said order on the immediate succeeding trading day at the market rate as on that date. The client shall not hold IDBI Capital or any of its officers/ directors liable or responsible for execution / non-execution of SIP instruction for any reasons beyond the control of IDBI Capital.
- f. Brokerage to be charged to the client will be as per the applicable tariff / special plan opted by the client and will be charged on respective transactions executed under the SIP.

7. Products terms & conditions

- a. SIP Order will be triggered in an automated mode and client will not be contacted prior to upload of SIP Order on SIP Trigger Date.
- b. Number of scrips that may be selected in a single SIP

GOLD ETF	Maximum of 3 Gold ETF may be selected
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In case more than 3 Gold ETF's are specified, IDBI capital shall consider only the first 3 securities

- c. In case of any regulatory reason, if your trading/ demat account is deactivated, then the SIP will be automatically cancelled.
- d. IDBI Capital will only trigger buy leg based on the instruction received from the client. Selling will be at the sole discretion of the client.
- e. SIP Order will be executed on the SIP Trigger Date subject to availability of adequate funds at the time of execution i.e. Lien marking/fund transfer in case of Online Clients and margin in case of Offline Clients.
- f. On SIP Trigger Date, if the selected scrip reaches upper or lower circuit, the SIP Order will be kept live till market ends. In this case the SIP Order may or may not get successfully executed depending upon the market. IDBI Capital shall not be liable to the client in any manner in such circumstances.
- g. Securities investments are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial advisor/professional tax advisors before availing the SIP facility.
- h. IDBI Capital may discontinue the IDBI Capital SIP facility completely or partially with prior intimation to the clients.
- i. All other exchange conditions viz. Shortages, Auction etc. will be applicable.
- j. Client would be provided with the contract notes and other communication as per the normal practice.
- k. Clients shall be required to access and review the contract notes of the trades executed on its behalf.
- l. All the terms & conditions agreed and executed between the client and IDBI Capital shall be also applicable to the SIP instruction and the client shall abide by the same at the all times.
- m. For any further queries or request processing, please contact us on 1800-22-3366, 022-3913 5001.
- n. For detailed disclaimer refer www.idbipaisabuilder.in.

8. Liability and Indemnity

- a. The client shall be solely liable and responsible for making all the payment towards the SIP Order executed in the client's account. In case of execution of the SIP Order in absence of funds / insufficient funds in client's account, client shall immediately make payment towards the trade obligation.
- b. If the client defaults in making the payment due to IDBI Capital / respective Exchange towards its trade obligations, IDBI Capital may at its sole discretion recover such amount due from the client by liquidating the client's position without prejudice to IDBI Capital's right to refer the matter to arbitration and recover delayed payment charges as may be applicable. Any and all losses and financial charges on account of such liquidation shall be charged to and borne by client.
- c. Client shall indemnify and keep indemnified IDBI Capital and its directors/ officers for all trades executed on behalf of the client as per the SIP Instructions.

9. Governing Law and Jurisdiction

The transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars/notices issued thereunder of the Exchanges.

I have understood the terms and conditions governing IDBI Capital SIP herewith and agree the same.

Name : _____
Client Code : _____
Signature of the Account Holder _____
Date : _____ Place : _____

List of Gold ETF securities available for trading: SYMBOL	SERIES	SECURITY NAME
IDBIGOLD	EQ	IDBI MF - IDBI GOLD ETF
AXISGOLD	EQ	AXIS MF - AXIS GOLD ETF
BSLGOLDETF	EQ	BIRLA SL GOLD ETF - GO
CRMFGETF	EQ	CAN ROBECO - GOLD ETF
GOLDBEES	EQ	GOLDMAN SACHS GOLD ETF
GOLDSHARE	EQ	UTI GOLD ETF
HDFCFMFGETF	EQ	HDFC GOLD ETF
IPGETF	EQ	ICICI PRUDENTIAL GOLD ETF
KOTAKGOLD	EQ	KOTAK GOLD ETF
MGOLD	EQ	MOST SHARES GOLD ETF
QGOLDHALF	EQ	QUANTUM GOLD FUND
RELGOLD	EQ	R SHARES GOLD ETF
RELIGAREGO	EQ	RELIGARE GOLD ETF
SBIGETS	EQ	SBI MF-SBI GOLD ETS-GO

